

# Программа AIM-PROGRESS



**ОТВЕТСТВЕННОЕ СНАБЖЕНИЕ  
СЕМИНАР ДЛЯ ПОСТАВЩИКОВ**

**ИЮНЬ 2010 ГОДА**

**Бенджамин Уэр, Nestle**



**PEPSICO**



*Cadbury*

**MARS**  
incorporated



Unilever



**Nestlé**

# У МЕНЯ ПРОШЕЛ АУДИТ – ДАЛЬНЕЙШИЕ ДЕЙСТВИЯ?

- Пошлите заключение Sedex\* (Аудитор с 3-ей стороны)
- Просмотрите результаты аудита со своей командой
- Просмотрите рекомендации по несоответствиям и корректирующим действиям со своей командой
- Осуществите планы корректирующих действий – сотрудничество с заинтересованными сторонами

\* В зависимости от требований потребителей.

***Аудит – это средство достижения цели... но не сама цель...  
наша общая цель – социально ответственный канал поставок.***

# РАБОТА С ПЛАНАМИ КОРРЕКТИРОВОЧНЫХ ДЕЙСТВИЙ (ПКД)

- ПКД будет предоставлен Вам по окончании аудита
- В ПКД приводятся основные направления для улучшений и дальнейшие действия
- Как команда управления, вы должны установить подходящие временные рамки для исправления недостатков и назначить ответственных
- Направления ПКД должны быть завершены в SEDEX

# РАБОТА НАД ПКД - 1

SNETA CAPR, April 2007		Job Ref: Kenya.SupplierName.SupplierCity.Doanis.InitialAudit.11May2008		Date: 11 May 2008	
Audit Type					
Commercial	<input checked="" type="checkbox"/>	Purchaser	<input type="checkbox"/>		
NGO	<input type="checkbox"/>	Retailer	<input type="checkbox"/>		
Trade Union	<input type="checkbox"/>	Brandowner	<input type="checkbox"/>		
		Multi-stakeholder	<input type="checkbox"/>		
		<b>Supplier Name</b>			
		Sedex Members Ethical Trade Audit			
		CLIENT: Cadbury plc			
Audit Details					
REPORT #:	Kenya.SupplierName.SupplierCity.Doanis.InitialAudit.11May2008				
Audit Date:	11 May 2008				
Date of Follow-Up Audit:					
Audit Type:	Initial <input checked="" type="checkbox"/>	Re-Audit <input type="checkbox"/>	Follow-Up <input type="checkbox"/>	.....(1,2,3...)	
Previous Audit date:					
Previous Audit type:		Re-Audit <input type="checkbox"/>	Follow-Up <input type="checkbox"/>	.....(1,2,3...)	
<b>Date CAPR Last Updated</b>					
Supplier Name:	Supplier Name				
Auditor Name(s):	Isaac Maluki				
Facility Country:	Kenya				
Facility Name:	Supplier Name				
Facility Contact:	Mr. XXXXXXXX				
Contact Title:	Managing Director				
Facility Address					
Facility Address:					
Facility Phone:					
Facility E-Mail:					
Products Being Manufactured at Facility e.g. Garments, Electricals, Toys	Cartons				

# РАБОТА НАД ПКД - 2

N/C/Nr	New or Carried Over	Details	Recommended Corrective Actions	Timeframe	Desktop / Follow-Up	Verification Evidence/Comments	Status (Closed/Open)	
<i>The reference number of the non-compliance from the Audit Report e.g. H&amp;S No. 7</i> <b>1</b>	<i>Is this a new non-compliance identified at the follow-up or an old one that is still outstanding, and therefore "Carried Over" Type A-C</i> <b>2</b>	<i>Details of Non-Compliance</i> <b>3</b>	<i>Details of actions to be taken to clear non-compliance</i> <b>4</b>	<i>Timescale (Immediate, 30, 60, 90, 180, 365)</i> <b>5</b>	<i>Desktop / Follow-Up</i> <b>6</b>	<i>Note if management agree to the non-compliance, and document name of responsible person</i> <b>7</b>	<i>Details on corrective action evidence</i> <b>8</b>	<i>Open/Closed or comment</i> <b>9</b>

### Guidance:

The Corrective Action Plan Report summarizes the factory audit findings and a corrective and preventative action plan that both the auditor and the factory manager believe is reasonable to ensure conformity with the ETI Base Code, Local Laws and additional audited requirements. After the initial audit, the form is used to rerecord actions taken and to categorise the status of the non-compliances.

Please note that the recommended completion date should be discussed between the factory management and the auditor at the dosing meeting to agree on realistic timeframes. This date is only a guidance and it is the responsibility of the factory to discuss exact dates or changes with their local client contact if appropriate.

### Next Steps:

1. The factory shall request via Sedex for the audit body to upload the audit report and non-compliances. If you have not already received instructions on how to do this then please visit the web site [www.sedex.org.uk](http://www.sedex.org.uk)
2. The factory shall action its non-compliances and document its progress via Sedex
3. Once the factory has effectively progressed through its actions then it shall request via Sedex for the audit body to verify its actions. Please visit [www.sedex.org.uk](http://www.sedex.org.uk) web site for information on how to do this.
4. The audit body shall verify corrective actions taken by the factory by either "Desktop" review process via Sedex.
5. However some non-compliances that cannot be dosed off by "Desk-Top review" may need to be closed off via a "1 Day Follow Up audit" charged at normal fee rates. If this is then case then the factory will be notified after it's submission of documentary evidence relating to that non-compliance.

# РАБОТА НАД ПКД - 3

N/CR#	New or Carried Over	Details	Recommended Corrective Actions	Priority	Due Date	Responsible Party	Verification Evidence/Comments	Status (Closed/Open)									
Health and Safety 1	New	Changing areas for employees maintained in poor state, some employees changing outside and no proper locker systems for employees.	Ensure that adequate changing facilities are provided for all employees.				According to the Factories, Offices and Shops Act of 1970 (Act 328) of the Republic of Country, Section 21 dealing with Accommodation for clothing states, "Adequate and suitable accommodation for clothing not worn [during] working hours shall be provided and maintained at suitable places for the use of all persons employed in any factory, office or clothing shop."	Open									
<p>Please sign this document confirming that the above findings have been discussed with and understood by you (facility management)</p> <table border="1"> <tr> <td><b>Factory Representative Signature:</b></td> <td><b>Title:</b></td> <td><b>Date:</b></td> </tr> <tr> <td><b>Auditor Signature</b></td> <td></td> <td><b>Date:</b></td> </tr> <tr> <td> <p><b>Please indicate below if you the factory management dispute any of the findings</b></p> <p><i>I dispute the following numbered non-compliances</i></p> <hr/> <p><i>Signed:-----</i></p> </td> <td colspan="2"><b>Factory Comments:</b></td> </tr> </table>									<b>Factory Representative Signature:</b>	<b>Title:</b>	<b>Date:</b>	<b>Auditor Signature</b>		<b>Date:</b>	<p><b>Please indicate below if you the factory management dispute any of the findings</b></p> <p><i>I dispute the following numbered non-compliances</i></p> <hr/> <p><i>Signed:-----</i></p>	<b>Factory Comments:</b>	
<b>Factory Representative Signature:</b>	<b>Title:</b>	<b>Date:</b>															
<b>Auditor Signature</b>		<b>Date:</b>															
<p><b>Please indicate below if you the factory management dispute any of the findings</b></p> <p><i>I dispute the following numbered non-compliances</i></p> <hr/> <p><i>Signed:-----</i></p>	<b>Factory Comments:</b>																

# КЛЮЧЕВЫЕ ФАКТОРЫ

- Обращайтесь за помощью
- Это путешествие... непрерывное улучшение очень ценится.
- Будьте отзывчивы – важно сотрудничество.